NEP of a Swiss subalpine forest is significantly driven not only by current but also by previous year’s weather

S. Zielis¹, S. Etzold², R. Zweifel², W. Eugster¹, M. Haeni¹, and N. Buchmann¹

¹Institute of Agricultural Sciences, ETH Zürich, Universitaetstrasse 2, 8092 Zurich, Switzerland
²Swiss Federal Research Institute WSL, Zuercherstrasse 111, 8903 Birmensdorf, Switzerland

Correspondence to: S. Zielis (sebastian.zielis@usys.ethz.ch)

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Abstract. Understanding the response of forest net ecosystem productivity (NEP) to environmental drivers under climate change is highly relevant for predictions of annual forest carbon (C) flux budgets. Modeling annual forest NEP with soil–vegetation–atmosphere transfer models (SVATs), however, remains challenging due to unknown delayed responses to weather of the previous year. In this study, we addressed the influence of previous year’s weather on the interannual variability of NEP for a subalpine spruce forest in Switzerland. Analysis of long-term (1997–2011) eddy covariance measurements showed that the Norway spruce forest Davos Seehornwald was a consistent sink for atmospheric CO₂, sequestering 210±88 g C m⁻² yr⁻¹ on average. Previous year’s weather strongly affected interannual variability of NEP, increasing the explained variance in linear models to 53% compared to 20% without accounting for previous year’s weather. Thus, our results highlight the need to consider previous year’s weather in modeling annual C budgets of forests. Furthermore, soil temperature in the current year’s spring played a major role controlling annual NEP, mainly by influencing gross primary productivity early in the year, with spring NEP accounting for 56% of annual NEP. Consequently, we expect an increase in net CO₂ uptake with future climate warming, as long as no other resources become limiting.

1 Introduction

Modeling and explaining interannual net ecosystem productivity (NEP) of forests, where NEP is the relatively small difference between gross primary productivity (GPP) and total ecosystem respiration (TER), has been shown to be challenging (Urbanski et al., 2007; Gough et al., 2008), and only weak links (r² < 0.25) have been found between climate variables and NEP (e.g., Gough et al., 2008). Furthermore, it is still unclear how forest NEP will respond to climate change, making predictions of this policy-relevant variable using soil–vegetation–atmosphere transfer models (SVATs) difficult and potentially inaccurate (e.g., Hanson et al., 2004; Katul et al., 2001; Richardson et al., 2007). However, due to large carbon (C) sink activities of forest ecosystems (Bonan, 2008), understanding the climate control on forest NEP and its role in the terrestrial carbon cycle is of great global importance (Stoy et al., 2009).

The challenges of SVATs to precisely model interannual NEP may arise from their focus on immediate responses of forest ecosystems to the current year’s weather (Hanson et al., 2004; Urbanski et al., 2007). However, it is very likely that forest ecosystems do not only respond immediately to actual changes in environmental conditions, but can also show delayed responses to legacy-effects or climate-vegetation feedbacks. Such delayed responses to seasonal weather conditions of the previous year (hereafter referred to as previous year’s weather) might include weather induced alterations of the built-up stored C in the previous year’s summer and/or fall used to fuel current year’s growth and metabolism (Carbone et al., 2013) and the formation of buds in the previous year’s fall with the associated implications for current year’s leaf area index (LAI), and thus GPP (Zweifel et al., 2006). Furthermore, the compensation of respiratory C losses due to frost damages induced in winter and spring of the previous year is yet another process possibly responsible for delayed responses. Therefore, we hypothesize...
that responses to previous year’s weather affect climate—vegetation feedbacks by modulating CO\textsubscript{2} release and/or uptake, and thus, will improve our ability to explain interannual variability of forest NEP. Such phenomena have been reported for both nonforest and forest ecosystems. Rocha and Goulden (2010) showed that negative effects on LAI and photosynthesis induced by an extreme drought in a freshwater marsh lasted for several years. Dendrochronological studies focusing on the tree scale, e.g., by Rocha et al. (2006), Pichler and Oberhuber (2007), and Babst et al. (2012), reported a significant influence of previous year’s weather on current year’s radial tree growth. Until now, however, little attention has been paid to the influence of such relationships on CO\textsubscript{2} exchange of entire forest ecosystems, and existing results have been contradictory. While Urbanski et al. (2007) suggested an influence of severe weather events from previous years on the current year’s carbon uptake capacity, and thus GPP, of a mixed forest, Gough et al. (2008) showed lagged influences of environmental conditions on net primary productivity (NPP), but not on NEP.

In accordance with the above mentioned ecophysiological processes possibly responsible for delayed responses of forest NEP, we would expect the previous year’s summer or fall to be of importance for forest NEP. If unfavorable conditions occur during these seasons, e.g., a precipitation deficit, the build-up of stored C and the formation of buds might be hampered and thus, affecting current year’s NEP. Also, we expect cold winter conditions, promoting a long-lasting snow cover accumulated already in late fall of the previous year, to play an important role due to its importance for soil temperature and soil water availability in current year’s spring, hence an early onset of NEP.

Here, we present a 15 yr (1997–2011) study on climate drivers of eddy covariance based NEP of a subalpine spruce forest. Based on this long-term data set, the goals of this study are (1) to identify climate drivers of NEP; and (2) to quantify the influence of previous year’s weather on NEP at the Swiss FluxNet site Davos Seehornwald.

2 Materials and methods

2.1 Site description

We conducted measurements at the Swiss FluxNet site Davos Seehornwald, a subalpine coniferous forest located in the southeastern part of Switzerland (46°48′55.2″ N, 9°51′21.3″ E) at 1639 m a.s.l. The annual mean temperature at the MeteoSwiss weather station Davos Dorf, approximately 1 km southeast of the Davos Seehornwald at 1594 m a.s.l., is 3.6 °C, and the mean annual precipitation is 1035 mm (30 yr means, 1981–2010; MeteoSwiss). The forest is dominated by Norway spruce (Picea abies) and shows a patchy understory of shrubs (Vaccinium myrtillus, Vaccinium gaultherioides), mosses (Hylocomium splendens, Dicranum scoparium) and scattered occurrence of grasses (Calamagrostis villosa, Avenella flexuosa, Luzula sylvatica). Stand age of mature trees ranges from 240 to 400 yr, tree height is ca. 25 m, and leaf area index (LAI) is 3.9 m\textsuperscript{2} m\textsuperscript{-2}. The soils range from Chromic Cambisols to Rustic Podzols (FAO classification; Jörg, 2008).

2.2 Climate data

Climate data used in this study were obtained from meteorological measurements on site: photosynthetic photon flux density (PPFD) (SKP 215, Skye Instruments Ltd., UK), soil temperature (TS107, Markasuh, Switzerland), and global radiation data, complemented with data from the nearby MeteoSwiss weather station Davos Dorf (air temperature, vapor pressure deficit (VPD), precipitation, snow height). This enabled us to incorporate climate information in the statistical analysis of years before on-site flux measurements were available (see below). We inferred mean daily soil temperatures for two years before on-site measurements (1995, 1996) from air temperatures, using a linear regression model between the two variables for the period 1997–2011 (adjusted $r^2 = 0.69; p < 0.001$).

2.3 Flux measurements and data processing

Since 1997, the net ecosystem exchange (here defined as NEE = −NEP) of CO\textsubscript{2} between the biosphere and the atmosphere has been determined continuously on a 35 m flux tower using the eddy covariance (EC) method (cf. Zweifel et al., 2010; Etzold et al., 2011). From 1997 until the end of 2005, CO\textsubscript{2} concentrations were measured using a closed-path infrared gas analyzer (IRGA) LI-6262 (LI-COR, Lincoln, Nebraska, USA). Since the end of autumn 2005, the respective concentrations have been measured with an open-path IRGA LI-7500 from the same manufacturer. Three dimensional wind speed was measured with a three axis sonic anemometer, model Solent R2 (Gill Instruments Ltd., Lymington, Hampshire, UK), until 2006, which was then replaced by a Solent R3-50 sonic anemometer.

CO\textsubscript{2} concentrations, measured at 20 Hz, were post-processed with the in-house software eth-flux (cf. Mauder et al., 2008) to obtain 30 min means of CO\textsubscript{2} fluxes. We corrected the 30 min mean fluxes measured by the open-path IRGA for density fluctuations (Webb et al., 1980) and for self-heating of the LI-7500 instrument surface (Burba et al., 2008). Since the LI-7500 was mounted obliquely to let rainwater drip off, not the full optical path length of the sensor is subject to self-heating effects. The fraction $\delta$ of the optical path affected by self-heating was estimated at $\delta = 0.085$ based on a direct determination at a similar site (Järvi et al., 2009). We filtered corrected fluxes for unrealistically high values ($\pm 50 \mu$mol m\textsuperscript{-2}s\textsuperscript{-1}) and unfavorable atmospheric conditions such as snow, heavy rain and/or dust (window dirtiness $> 70$ %; open-path IRGA...
fluxes only). Insufficient turbulent mixing of the atmosphere was accounted for using a \( u_* \) filter with a threshold of \( u_* < 0.2 \, \text{m} \, \text{s}^{-1} \). In addition, we filtered large unrealistic negative nighttime CO\(_2\) fluxes. Therefor, we used a symmetric method, i.e., within a 14 d moving window, both negative and positive nighttime CO\(_2\) fluxes outside the 14 d mean ±1 SD (standard deviation) were dismissed. High-quality data were available between 43% (2008) and 53% (2002) of the time after data screening and removal of periods with instrument failures.

Gap-filling and partitioning of the CO\(_2\) fluxes into GPP and TER was done using the online tool by Reichstein et al. (2005). After flux partitioning, 10% of the daytime GPP fluxes were negative because modeled TER was lower than the measured NEE. In such cases, we set GPP to zero, and assigned the corresponding NEE to TER. The same procedure was repeated for all GPP values during nighttime (photosynthetic photon flux density < 80 \( \mu \text{mol} \, \text{m}^{-2} \, \text{s}^{-1} \)).

### 2.4 Statistics

All statistical analyses were performed using the R statistical software, version 3.0.1 (R Core Team, 2012). In general, we used seasonal climate variables to determine which drivers exerted the greatest influence on C exchange processes at what time of the year (Table 1). The nomenclature used in the statistical analysis was composed of the abbreviation and the meteorological season of each climate variable, e.g., spring soil temperature was named SoilTemp.spring. We used the meteorological definition to define seasons (winter: DJF; spring: MAM; summer: JJA; fall: SON). Previous year’s weather variables were denoted with “−1”, e.g., SoilTemp.spring−1. Accordingly, “winter−1” is the season with the longest time period between itself and current year’s NEE, including December of the year before the previous year as well as January and February of the actual previous year. The current year’s winter then includes the previous year’s December as well as the current year’s January and February. We used two subsets of data to analyze climate drivers of NEE: one with current year’s weather variables only (28 variables) and one with both current and previous year’s weather variables (56 variables).

The analysis itself was conducted in two steps. In the first step, we diagnosed and corrected collinearity due to interdependences among climate variables within the two subsets of data, using the condition index (CI; Rawlings et al., 1998). CI is the square root of the ratio of each eigenvalue to the smallest eigenvalue of \( X \), with \( X \) representing a \( n \times p \) matrix of predictors (\( p \)) and with \( n \) being the sample size. A CI > 30 is critical, i.e., collinearity is present and should be corrected for (Rawlings et al., 1998; Dormann et al., 2012). Thus, we correlated each climate variable with each other and when the absolute correlation coefficient exceeded a threshold of \( |r| = 0.58 \), we calculated bivariate regressions between NEP and these two independent variables. We then dismissed the climate variable with the lower explanatory power according to the Akaike information criterion (AIC). The chosen correlation threshold allowed fitting of linear models (degrees of freedom ≥ 1), and it was in the range of previously reported values (\( |r| = 0.4 \) in Suzuki et al., 2008, \( |r| = 0.85 \) in Elith et al., 2006). In the second step, we selected those climate variables from the collinearity-corrected data sets that resulted in the best linear regression models with 1 up to \( k \) variables, with \( k \) being the number of climate variables after collinearity correction.

We used adjusted \( r^2 \) (adj. \( r^2 \)) as selection criterion for the best model and constrained the analysis of climate drivers to models with three variables at the most (see Sect. 3.2 for details). By calculating standardized regression coefficients (\( \beta \); Quinn and Keough, 2001), we determined the importance of each individual climate variable in the best models, and thus, the contribution of each climate variable to the prediction of NEP. Finally, we assessed the overall performance of the best linear regression models obtained from our first statistical approach by determining their ability to reproduce measured single-year sums of NEP. Therefore, we used the regression equations with the respective climate variables as input to recalculate annual NEP.

### 3 Results

#### 3.1 Annual carbon dioxide flux and intra-annual patterns

The subalpine coniferous forest was a net CO\(_2\) sink throughout the measurement period from 1997 until 2011. Annual sums of net CO\(_2\) uptake ranged from 54 (1997) to 336 g C m\(^{-2}\) yr\(^{-1}\) (2009), with a 15 yr average of 210 ± 88 g C m\(^{-2}\) yr\(^{-1}\) (mean ± 1 SD) (Fig. 2) and we did not find a significant trend towards increasing NEP within the measurement period (\( p = 0.08 \)). On average, cumulative C losses exceeded CO\(_2\) uptake (\( |\mathrm{TER}| > \mathrm{GPP} \)) from the beginning of the year until the beginning of May (mean date ±1 SD: 8 May ± 23 d). Typically, at the end of March (27 March ± 16 d), when air temperature was still below zero and the soil was snow-covered (Fig. 1), photosynthesis
NEP of a Swiss subalpine forest is significantly driven not only by current but also by previous year’s weather. These results were highly consistent, also if only two variables were used from the data set with previous year’s weather or if the collinearity threshold was chosen in such a way that both initial variable subsets (without and with previous year’s weather) had the same number of variables (data not shown). Using more than three independent variables would further increase the explained variance of NEP for the data set with current and previous year’s weather (Fig. 4b, hatched area), yet, strongly overparameterizing the linear models in relation to the dependent variable (i.e., 15 yr). Therefore, we limited...
all our analyses to a maximum of three independent variables.

Regardless of which subset was analyzed (with current year’s weather only or with both current and previous year’s weather), soil temperature of current year’s spring (SoilTemp.spring) was identified as the most influential driver of NEP (Table 2). NEP always increased with increasing soil temperatures. Winter irradiance was ranked second for the subset with current year’s weather only, always with a negative effect on NEP (β = −0.34 and −0.42 for models with two and three independent variables, respectively; Table 2). When previous year’s weather was included, minimum air temperature of the previous year’s spring (T2min.spring−1) was the second most important variable, also with a strong positive effect on NEP, similar to soil temperature (β = 0.68 and 0.70 for models with two and three independent variables, respectively). For both subsets, the third explanatory variable was only of minor importance (PPFD.fall−1, β = −0.30; Table 2) and did not increase the explained interannual variability of NEP significantly.

3.3 Modeling of annual net ecosystem productivity

We used the best linear regression models with current year’s weather only and with both current and previous year’s weather (two and three independent variables, respectively; Table 2) to compare their performance to measured single-year NEP (1997–2011; Fig. 5). The model with both current

Fig. 3. Smoothed mean daily sums of NEP, GPP and TER as well as smoothed mean daily soil temperature at 5 cm depth of the Davos Seehornwald spruce forest for the measurement period 1997–2011. Smoothing was done by fitting a local polynomial regression (LOESS) to the original mean daily values, using a 7 and 18 d smoothing window for the fluxes and the soil temperature, respectively. Seasons are defined according to their meteorological definition (see Fig. 2).

Fig. 4. Number of predictors used in the model’s NEP vs. climatic variables (1997–2011) against the adjusted coefficient of determination (adj. r²) for the data subsets with current year’s weather only (a) and with both current and previous year’s weather (b) of the Davos Seehornwald spruce forest. Hatched areas in (a) and (b) indicate overparameterized models.

<table>
<thead>
<tr>
<th>Variable</th>
<th>Coefficient</th>
<th>p</th>
<th>β</th>
<th>adj. r²</th>
<th>pmod</th>
</tr>
</thead>
<tbody>
<tr>
<td>Models with current year’s weather only</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intercept</td>
<td>578.23</td>
<td>0.0139</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SoilTemp.spring</td>
<td>49.65</td>
<td>0.0913</td>
<td>0.44</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PPFD.winter</td>
<td>−3.12</td>
<td>0.1811</td>
<td>−0.34</td>
<td>0.20</td>
<td>0.1051</td>
</tr>
</tbody>
</table>

| Models with current and previous year’s weather |            |       |      |         |      |
| Intercept                | 583.93      | 0.0464|      |         |      |
| SoilTemp.spring           | 101.96      | 0.0022| 0.90 |         |      |
| T2min.spring−1           | 75.93       | 0.0059| 0.70 |         |      |
| PPFD.fall−1              | −1.81       | 0.1708| −0.30| 0.53    | 0.0094|

p and β are valid for each independent variable. adj. r² and pmod are valid for the entire model.
only in four years (2003, 2008, 2009, 2010) were the deviations from the measured annual NEP anomalies larger than 50 g C m\(^{-2}\) yr\(^{-1}\).

4 Discussion

Our study showed that only when considering previous year’s weather we explained interannual variability of NEP well, increasing the explained variance of NEP at the Davos forest from 20 to 53 %. Yet, current year’s spring conditions still exerted a continuous influence on NEP, independent of whether or not previous year’s weather was included in the analysis.

4.1 Ecosystem carbon sink

The Davos forest was a consistent carbon sink over 15 yr of measurements (1997–2011; Fig. 2), with annual CO\(_2\) sinks ranging from 54 to 336 g C m\(^{-2}\) yr\(^{-1}\) and no significant trend of increasing CO\(_2\) sink activity with time. Eddy covariance measurements in subalpine forest ecosystems are scarce, and therefore, the possibilities for comparisons of annual NEP with other sites are limited. Zeller and Nikolov (2000) reported a modeled annual C uptake of 196 g C m\(^{-2}\) yr\(^{-1}\) for the Glacier Lakes Ecosystems Experiment Site (GLEES), a subalpine forest in southern Wyoming, USA, dominated by Engelmann spruce (\textit{Picea engelmannii}) and subalpine fir (\textit{Abies lasiocarpa}). Long-term CO\(_2\) flux observations at the Niwot Ridge AmeriFlux site, another subalpine coniferous forest (\textit{Abies lasiocarpa}, \textit{Picea engelmannii}, \textit{Pinus contorta}), showed a mean annual uptake of 216 g C m\(^{-2}\) yr\(^{-1}\) (Desai et al., 2011). These values are in line with the mean annual NEP (210 g C m\(^{-2}\) yr\(^{-1}\)) at our site in Switzerland. Annual cumulated NEP at the Davos site was controlled by the combination of strongly increasing spring C uptake and consistently low spring C loss, causing NEP to reach 56 % of its annual sum in the three months March, April, and May. Such a strong control of springtime photosynthesis over annual NEP is in accordance with results from a subalpine coniferous forest (\textit{Abies lasiocarpa}, \textit{Picea engelmannii}, \textit{Pinus contorta}) (Niwot Ridge, USA; Monson et al., 2002).

4.2 Environmental controls of annual net ecosystem productivity

Considering previous year’s weather substantially increased explained variance of interannual variability in NEP from 20 to 53 % (Fig. 4, Table 2), leading to an adj. \(r^2\) much higher than reported for other studies using only current year’s weather (typically, \(r^2 < 0.25\) for single climate variables; e.g., Gough et al., 2008). Our analysis highlighted the relevance of favorable previous year’s spring conditions (\(T<sub>2min</sub>.spring</i>−</i>1\) promoting current year’s NEP (Table 2), e.g., by warm temperatures and/or less frequent occurrence of frost events. Possible reasons for the delayed response of NEP and forest growth to weather of the previous year are manifold and potentially superimposed. It is generally accepted that nonstructural carbohydrates (NSC) stored in the previous year are used to fuel C consuming processes early in the current year, such as for bud break/leaf flush (e.g., Epron et al., 2012) and early wood formation (e.g., Oberhuber et al., 2011), in both deciduous and coniferous trees (Hoch et al., 2003; Schädel et al., 2009). Unfavorable weather during the previous year might lead to a reduction in C allocation to young and readily available NSC, which is primarily used for growth (Richardson et al., 2013), and thus, might affect NEP. Additionally, bud formation in the previous year’s late summer and autumn might affect current year’s tree growth and thus the ecosystem C balance, as indicated by the
relationship between NEP and previous year’s fall radiation ($PPFD_{fall}-1$; Table 2). Weather, and thus GPP and C supply, during the formation process of buds predetermines maximum needle number as well as maximum length of needles and shoots in the following year (Dobbertin, 2005). Hence, closely related variables, such as the maximum LAI and rate of photosynthesis, which build a feedback loop with GPP and tree growth, are partially preset and constrained by previous year’s weather (Zwiebef et al., 2006).

Furthermore, frost and winter damages to parts of the tree might indirectly play a role in NEP responses to previous year’s weather. Warm temperatures and less occurrence of frost events in the previous year’s spring ($T_{2min, spring}-1$; Table 2) could be associated with reduced frost damage, e.g., to buds and recently flushed needles, as well as with reduced xylem embolism or fine root mortality (Pederson et al., 2004). Accordingly, less respiratory C losses and an earlier compensation of these C losses by photosynthesis will affect GPP and the ecosystem C balance.

The importance of considering delayed NEP responses, e.g., in SVATs, is clearly shown in the strikingly better fit of the regression models when incorporating previous year’s weather (compare Fig. 5a, b). The incorporation led to more accurate estimates of annual NEP sums, with much smaller deviations between modeled and measured single year NEP (Fig. 5c) and reduced uncertainty of modeled NEP (Fig. 5b). Also Babst et al. (2013) emphasized the relevance of lagged climatic effects on radial tree growth, a measure closely related to annual NEP at the Davos site (Zwiebef et al., 2010).

Although our models explained 53% of interannual variability, and thus improved earlier explanations of variance in annual NEP (compare with Urbanski et al., 2007), our models nevertheless focused only on seasonal climatic drivers of NEP, still leaving 47% (= 100–53%) of the interannual variability of NEP unexplained. Unusually extreme weather events occurring at shorter timescales than the seasonal scale used in this study and biotic processes occurring at longer timescales (e.g., changes in stand structure or species composition) were not considered. Biotic processes have been shown to determine 10% of interannual variability of NEP in a coniferous forest (Duke forest, USA; Hui et al., 2003), 55% in a mixed forest (Howland forest, USA; Richardson et al., 2007), and up to 80% in a broadleaf forest (Soroe forest, Denmark; Wu et al., 2012), with increasing importance at longer timescales (Wu et al., 2012). However, biotic processes are less likely to be responsible for 47% of interannual variability of NEP at Davos that remained unexplained, since stand structure and species composition did not change over the 15 yr of EC measurements.

Despite the impact of previous year’s weather on NEP, current year’s weather in spring had a consistent and strong influence on annual NEP of our subalpine spruce forest over 15 yr, although current year’s weather only explained 20% of the interannual variability of NEP when used alone. Higher soil temperatures in spring (March–May) increased annual NEP (Table 2), mainly due to GPP increasing earlier and faster in spring than TER (Fig. 3). This asynchrony of GPP and TER seems to be related to different temperature responses. During winter, the thermal insulation of a full snow cover (Fig. 1b) causes soil temperatures to linger at the freezing point (Fig. 3). As soon as mean daily soil temperatures rose above 0°C, GPP started to increase (Fig. 3), even though air temperatures were still below zero at that time (Fig. 1a). Thawing of the upper soil layer, and thus mediating water availability, has been shown to control annual NEP (Monson et al., 2002; Sacks et al., 2007), trigger photosynthesis (Jarvis and Linder, 2000; Bergh and Linder, 2001), and radial tree growth (Vaganov et al., 1999) in forests of cold climates, such as the Davos Seehornwald forest. Suni et al. (2003) showed that photosynthetic C uptake was possible even with soil temperatures at the freezing point, supporting our results of needle C uptake throughout the whole winter (Fig. 3). In contrast, TER started to increase only when soil temperatures values rose above 2°C (Fig. 3), which only happened when air temperatures clearly exceeded the freezing point and snow cover had disappeared (Fig. 1). Such a response to temperatures well above the freezing point was also shown for soil respiration, the major component of TER at the Davos site (Etzold et al., 2011).

5 Conclusions

Based on this long-term, 15 yr dataset we strongly recommend considering the influence of previous year’s weather on NEP modeled SVATs to allow for more precise estimates of annual C budgets in forest ecosystems. With this addition to a SVAT we expect the greatest improvements in modeled NEP of forest ecosystems in cold climates, as shown here for the subalpine Davos forest. Moreover, assuming a continuing trend of increasing spring temperatures in Europe under future climate warming, we expect that the carbon sequestration potential of the Davos forest will further increase, unless other resources become limiting.

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